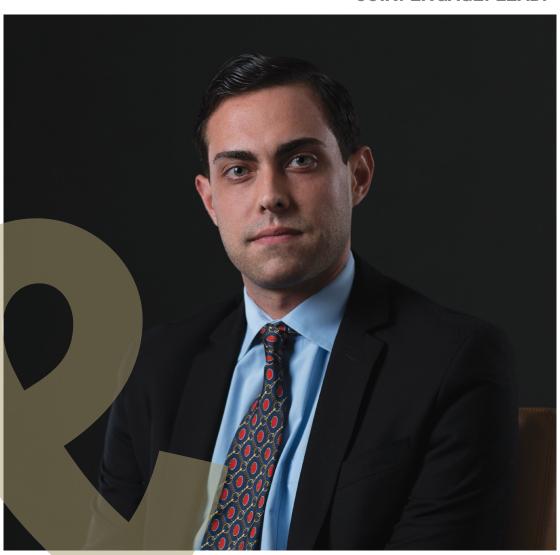


NOVEMBER 6, 2018
NATIONAL HARBOR, MD

PREFERRED PRICING FOR RMA ASSOCIATE MEMBERS!



COMMUNITY BANK VENDOR RISK MANAGEMENT FORUM

This forum can be taken on its own, or as a post-conference event at RMA's Annual Risk Management Conference, November 4–6, 2018. Visit www.rmahq.org/rmaconf2018 for conference details.

FORUM OVERVIEW

Third-party relationships continue to be a critical component of operational risk frameworks for organizations of all sizes. Amid mounting pressure from regulators, institutions like yours are challenged with meeting regulatory expectations and ensuring their programs help mitigate the risks related to third-party relationships.

This year's forum addresses best practices and effective strategies in managing third party relationships through the lifecycle of the vendor relationship. Starting with what is and what is not a critical third party, the forum delves in to the top line risks every institution should evaluate: cyber, privacy, and information security and provides insight into post-contract management and monitoring as well as KRIs and risk reporting.

WHO WILL BENEFIT?

Anyone involved in third-party selection, due diligence, relationship management, risk management, and IT/security will benefit from this forum. In addition, this forum may be beneficial for compliance, legal, audit, and management.

YOU WILL:

- Understand what constitutes a critical third party, best practices in segmentation/tiering; and essential risk domains that should be addressed in your program.
- Explore best practices in cyber, privacy, and information security risk including effective controls and techniques for monitoring third party risk.
- Learn how to translate policy and standards into effective practices for managing and monitoring critical third parties.
- Gain insight into aligning your third-party risk domains with your ERM framework in order to develop meaningful KRIs and risk reporting.
- Hear an update on recent and topical regulatory communications and insights gained from member surveys on third party risk management.
- Have an opportunity to discuss top-of-mind questions, issues, or challenges, and explore topics addressed during the forum with industry experts.

EVENT LOCATION:

Gaylord National Resort and Convention 201 Waterfront Street National Harbor, MD 20745 301-965-4000

REGISTRATION FEE:

Associate members: \$595 Nonassociates from member institutions/professional members: \$595 Nonmembers: \$750

CONTINUING EDUCATION CREDITS:

Credit Risk Certification: 6 CEUs



AGENDA

NOVEMBER 6, 2018

1:00 P.M. – 1:30 P.M. REGISTRATION AND LUNCH

1:30 P.M. – 2:00 P.M. EFFECTIVE LIFECYCLE MANAGEMENT

SPEAKER:

Linda Tuck Chapman, ONTALA and author "Third Party Risk Management: Driving Enterprise Value," published by RMA

Defining what is and what is not a critical third party makes your program meaningful and relevant to business leaders and the board. During this session we will explore what constitutes a critical third party, best practices in segmentation/tiering; and essential risk domains that should be addressed in your program.

2:00 P.M. - 2:40 P.M.

TOP LINE RISKS: CYBER, PRIVACY, AND INFORMATION SECURITY

SPEAKER:

Tom Garrubba, CISO, Shared Assessments

Regardless of business mix, effective cyber, privacy, and information security risk identification and evaluation are essential for the protection of your customers, shareholders and reputation. During this session we will explore some best practices including effective controls and techniques for monitoring third party risk.

2:40 P.M. – 2:55 P.M. BREAK

2:55 P.M. - 3:25 P.M.

POST-CONTRACT MANAGEMENT AND MONITORING

SPEAKER:

Larry Salvati, Operational Risk Manager - Payments, Virtual Solutions, and Innovation, Corporate Risk - Deposit Products Group, Wells Fargo

The first line of defense (LOD) owns the third party relationship and its risks. As a senior leader in the first LOD, Larry will share his lessons learned in translating policy and standards into effective practices for managing and monitoring the critical third parties supporting his line of business. Larry will also touch on the impact new GDPR regulation is having on his organization and the bank.

3:25 P.M. – 4:05 P.M.

KRIS AND RISK REPORTING

SPEAKER:

Linda Tuck Chapman, ONTALA and author "Third Party Risk Management: Driving Enterprise Value," published by RMA

Third party risk management is a team sport, involving many people across the institution at various stages during the lifecycle of critical third party relationships. Aligning in-scope third-party risk domains with your ERM framework improves communication and rigor, and can guide development of meaningful KRIs and insightful risk reporting.

4:05 P.M. - 4:25 P.M.

REGULATORY UPDATE; OPPORTUNITIES TO STRENGTHEN YOUR PROGRAM

SPEAKER:

Sylwia Czajkowska, Associate Director, Operational Risk, RMA

RMA is in constant contact with its members and regulators, providing expert insight into regulatory communications, commenting on proposed regulatory changes on behalf of the sector, sharing best practices, and facilitating thought leadership among member institutions. During this session, Sylwia will provide an update on recent and topical regulatory communications and insights gained from member surveys on third party risk management.

4:25 P.M. - 4:50 P.M.

"ASK THE EXPERT" PANEL DISCUSSION

SPEAKERS:

Larry Salvati, Operational Risk Manager - Payments, Virtual Solutions, and Innovation, Corporate Risk - Deposit Products Group, Wells Fargo

Linda Tuck Chapman, ONTALA and author "Third Party Risk Management: Driving Enterprise Value," published by RMA

Tom Garrubba, CISO, Shared Assessments

During this interactive session, participants will have an opportunity to discuss their top-of-mind questions, issues, or challenges, and explore topics addressed during this session, in greater detail. To kick off the session, presenters will share some complex issues they have faced. Bring your questions!

4:50 P.M. - 5:00 P.M.

BOOK SIGNING

This section must be filled out in order

to properly process your registration

COMMUNITY BANK VENDOR RISK MANAGEMENT FORUM

NOVEMBER 6, 2018 | NATIONAL HARBOR, MD | EVENT #504401-19

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Nonmembers:	\$750			☐ Chief Risk Officer
□ Mr. □ Ms. □ Mrs.				☐ COO☐ Chief Operational Risk Officer
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☐ Institutions with assets of \$50 billion and up	☐ Operational	Risk Management	☐ Institutions with assets of \$180	☐ Legal☐ Life/Health Insurance
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